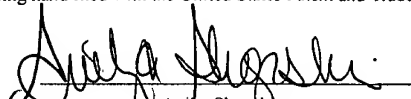


PATENT  
Docket No. 146712002600  
Client Ref. SEA2978

**CERTIFICATE OF HAND DELIVERY**

I hereby certify that this correspondence is being hand filed with the United States Patent and Trademark Office in Washington, D.C. on  
September 28, 2001.

  
Anika Shopshire

U.S. PTO  
09/964690  
09/28/01

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In the application of:

Li-Lien LEE et al.

Serial No.: To Be Assigned

Filing Date: Herewith

For: NIOBIUM ALLOY SEEDLAYER FOR  
MAGNETIC RECORDING MEDIA

Examiner: To Be Assigned

Group Art Unit: To Be Assigned

#2  
D.G.  
11-7-4

**INFORMATION DISCLOSURE  
STATEMENT UNDER 37 C.F.R. § 1.97**

Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, Applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO-1449. Copies of the documents are also submitted herewith. The Examiner is requested to make these documents of record.

This Information Disclosure Statement is submitted with a new application; accordingly, no fee or separate requirements are required.

Applicants would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

The information contained in this Information Disclosure Statement under 37 C.F.R. § 1.97 is to the best of my knowledge and is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the event that the transmittal letter is separated from this document and the Patent Office determines that an extension and/or other relief is required, applicant petitions for any required relief including extensions of time and authorizes the Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to **Deposit Account No. 03-1952** referencing 146712002600. However, the Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Dated: September 28, 2001

Respectfully submitted,

By

  
Raj S. Davé  
Registration No. 42,465

Morrison & Foerster LLP  
2000 Pennsylvania Avenue, N.W.  
Washington, D.C. 20006-1888  
Telephone: (202) 887-8798  
Facsimile: (202) 236-8396